

1 **DOES “500g OF CO₂ FOR A FIVE MILE TRIP” MEAN ANYTHING? TOWARDS MORE**
2 **EFFECTIVE PRESENTATION OF CO₂ INFORMATION**

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26

26 **ABSTRACT**

27 Carbon dioxide (CO₂) emissions information is being presented to people in the hope that they will
28 adjust their behaviour to reduce outputs. However, many might not fully understand or appreciate
29 CO₂ information when presented as a mass; this might affect the interpretation and application of such
30 information, and the individual motivation to travel in a more sustainable way. Related to that failing,
31 this paper empirically examines two key questions. The first examines whether, depending on the
32 format used (mass, trees, earths, and a carbon budget), people can give a sustainability ranking for
33 various CO₂ emissions amounts. It is argued that the latter three formats each provide some degree of
34 context which could increase the usability of the CO₂ information. Based on survey results, CO₂
35 information should be presented with greater context than just mass, ideally with respect to a
36 recommended level. The second question examines the so-called anchoring effect. In a state of low
37 knowledge, the way choices are valued is affected by contextual information such as those provided
38 by other choices' attributes. If a format is able to convey enough contextual information though, this
39 could stabilize interpretation. The anchoring effect was found to significantly influence the
40 sustainability ranking of travel alternatives presented in all formats apart from the earth format. In
41 general this suggests that the way travel alternatives are presented must be considered in the
42 contextual design of CO₂ information or unintended interpretations may occur.

43

44 **Keywords:** carbon dioxide emissions, information, context, anchoring effect

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45

46 **INTRODUCTION**

47

48 One strategy to reduce carbon dioxide (CO₂) produced by transportation is by affecting choice
49 through better information. Providing information can be seen as both a service to users and as a
50 means to change behavior. However, although sources for transportation CO₂ information exist, to
51 date little research has looked at how it should be presented to be most effective. The available
52 literature suggests that the most prevalent means of presenting CO₂ information, as a mass, is not
53 useful to people. One problem is that people aren't familiar with CO₂ information, so they have
54 difficulty interpreting the information. However, that information is occasionally presented in an
55 equivalent form (e.g. as a balloon), which may help with interpretation by concretizing the abstract
56 concept of CO₂ or by increasing context. Therefore, the first question investigated in this research is
57 whether equivalents are more "useful" than simply presenting the mass of CO₂.

58 The second question considered relates to the influence of other choices. If people are
59 uncertain about what something means, they may look for additional information, such as the amounts
60 produced by other choices. If a travel choice is made (as opposed to habitual use), various options
61 will be considered. Which options are considered will likely affect how sustainable each choice
62 appears. For example, car pooling compared to single-occupancy vehicles will appear as the
63 sustainable choice, but will appear worse if compared with using a bicycle. Therefore, the second
64 question investigated here is to what extent do the choices we are presented with affect how
65 sustainable something appears?

66 These questions are important to practitioners who are interested in using CO₂ information to
67 assist with behaviour change towards more sustainable choices. The examples used are related to
68 transportation, but the results are applicable to any tool that presents CO₂ information. The first
69 question will help practitioners choose more useful presentations of CO₂ and the second question will
70 determine whether what choices are presented should be considered.

71

72 **BACKGROUND**

73

74 Information about CO₂ is increasingly being used in transportation, but it is typically presented in its
75 scientific form of mass. In the United Kingdom (UK), CO₂ information for cars is presented in
76 grams/km and individuals around the world can estimate their CO₂ outputs for other modes through
77 Internet tools such as carbon calculators or travel/journey planners which all use mass and only a few
78 give additional information to help with interpretation (1). Travel programs such as the Travel
79 Blending in Australia (2) and the Travel Feedback Program in Japan (3) give feedback to users about
80 various aspects of their travel including the mass of CO₂ emissions produced. Recent experiments
81 involving CO₂ information in route choice and car purchase also used mass (4). In all cases, the
82 increased information is presumed to lead to more informed decisions, and to reduce CO₂ outputs,
83 thus helping combat climate change. However, the assumption that CO₂ mass can be interpreted and
84 applied by individuals may be questionable.

85 Despite the increasing presence of CO₂ information, there seems to be little research on
86 whether people understand that information. Coulter et al. (5) found that both users and non-users of
87 carbon calculator websites reported not really understanding the results when presented as mass. In
88 their study, the users of such sites typically used it to either mark a starting point by which to measure
89 reductions or to pay for an off-set. By attaching a monetary value to the mass, people may adjust their
90 behavior or they may only ease their conscience and continue as before. Non-users were not typically
91 interested in seeking out CO₂ information, but after using different sites, suggested that the format of
92 "earths" was more easily understood with respect to sustainability and that they might make changes
93 if given simple and clear advice on how to reduce outputs. Thus, rather than mass, an equivalent such
94 as "earths" may be more useful and may even stimulate less environmentally-motivated individuals to
95 change, but no empirical research has yet analyzed this.

96 Over 60 Internet tools that inform users of CO₂ production were reviewed at the ground work
97 for the The Carbon Aware Travel CHOices (CATCH) project (1). These were examined for how CO₂
98 was being communicated and it was found that the *mass* of CO₂ is always used, but that occasionally

99 equivalents such as *trees* or *earths* were used, and in some instances national or demographically
100 relevant *averages* were given.. As highlighted by Coulter et al.'s work, those different presentation
101 styles may have varying levels of "usefulness" to individuals. Considering the problems of
102 understanding CO₂ as a mass and the various other ways of presenting such information, it would be
103 of benefit to practitioners to know which is most useful.

104 Considering why an equivalent such as an "earth" would be more useable, the issue of
105 information content versus information context emerges. *Content*, here, is the mass of CO₂ produced
106 by different travel alternatives. It is an amount, but the interpretation of that amount depends on the
107 application of knowledge of what is a normal or desirable amount. *Context* is the additional
108 information that allows the user to apply the content to some decision making process. Providing only
109 the mass of CO₂ is providing content without context; the user reads the information, but doesn't
110 know whether it's large or small, acceptable or not.

111 Equivalents may provide greater context to the information on CO₂ emissions. Rather than
112 providing context through financial association such as offsetting, presentation styles could show
113 what is sustainable. The presentation style of tree equivalents (the number of trees required to
114 sequester the CO₂ output) visualises the rather abstract CO₂ gas, but is ambiguous about what is
115 "sustainable" (i.e. how many trees could be planted every year to offset CO₂ production?). The
116 presentation style of earth equivalents visualises the CO₂ information and attempts to show what is
117 sustainable by showing how many earths would be required if all people behaved as the user. That
118 contextualization of information may have been why the individual in Coulter et al.'s study felt that it
119 was a useful format.

120 Along with contextualization, there may be further benefits to visualizing CO₂ mass. Images
121 assist with the encoding of information (6), are good at concretizing abstract concepts (7), and
122 improving the comprehension of scientific material (8, 9). Of special consideration here is that images
123 can also assist comprehension when the individual has low prior knowledge (10). Through those
124 various benefits, visualization of the CO₂ information may improve the usability of visual equivalents.

125 To increase the relevancy of CO₂ information to people, governments are contemplating cap
126 and trade systems or tax systems related to CO₂ output. Cap and trade systems could change behavior
127 by giving an acceptable limit and through market mechanisms. The acceptable limit set by the cap
128 would help to create a reference point for people to judge whether a choice would be a gain or loss.
129 Further, the use of a societal limit may act as guidance on what is socially acceptable. Reno et al. (11)
130 define such information as *injunctive norms* which have been found useful in household energy use
131 studies (12).

132 Improving understanding through context may increase the usefulness of the CO₂
133 information, but people will likely still be operating in a state of low knowledge. When an individual
134 is uncertain about a number, additional numbers, even random ones, will affect estimations. This
135 effect was termed *the anchoring effect* by Tversky and Kahneman (13). Due to the relative "newness"
136 of CO₂ information, people are likely acting in a state of uncertainty about how sustainable their
137 motorized travel choices are. In a high state of uncertainty other alternatives could make certain
138 choices appear more or less sustainable.

139 To help explain the relevance of the anchoring effect to CO₂ emissions information, consider
140 this analogy. You eat food everyday (the action), and depending on the food you receive a certain
141 number of calories (the impact). Your body needs a certain number of calories (the budget), and an
142 excess of calories will result in weight gain that over time could lead to major health problems. Now,
143 imagine that you don't know how many calories your body needs and you are contemplating what
144 meal to have. There are a few meals that you could eat and you're given the total calories. They are
145 1200, 1500, and 2100 kcal. In this situation, 1200 kcal seems low. However, if the options were 300,
146 600, and 1200 kcal, the same 1200 kcal would now seem very high. The role of the options acted to
147 influence interpretation, effectively pulling one's interpretation either higher or lower.

148 Now, if the calories were given with some contextual information, such as the percentage of a
149 normal daily diet, then perhaps the anchoring effect would be reduced. In such a situation, one would
150 see that 1200 kcal was about half of one's daily calorie requirements. The contextual information
151 would then allow the individual to make a more educated decision on whether it was an appropriate
152 choice for them.

153 With consideration to that, could a contextual format reduce the anchoring effect for CO₂
154 information? Presumably yes, but CO₂ considerations may be so new and uncertainty so high that the
155 anchoring effect may still be large. This is an important consideration to practitioners who present
156 CO₂ information to decision makers. If contextual information eliminates the anchoring effect then
157 no consideration to what alternatives are presented in a choice set is necessary. However, if contextual
158 information cannot eliminate the anchoring effect, then attention should be paid to what alternatives
159 are presented as they will affect interpretation of sustainability, which will then affect such
160 considerations in final choices.

161

162 **Background Summary**

163

164 CO₂ information is typically being presented in transportation situations as mass, but past research
165 suggests presenting the mass of CO₂ is not useful to people. This may be a problem of lack of
166 background knowledge and context to support interpretation. In such a situation, the information may
167 be ignored or if it is considered, the attributes of the choice set will likely affect interpretation (the
168 anchoring effect). Providing greater context may improve interpretation and could possibly reduce or
169 eliminate the anchoring effect.

170

171 **RESEARCH FRAMEWORK**

172

173 The previous section described why context is likely important to understanding and interpreting CO₂
174 emissions information. This section describes the theoretical framework used to understand the choice
175 process.

176 As in prospect theory (14), we also suggest that when people are making choices they
177 simplify information. Rather than retain and consider exact amounts, people likely assess information
178 as being desirable or undesirable. Put another way, they interpret the information as a gain or a loss.
179 They determine if it is a gain or loss by comparing it to an internal reference point. Using the food
180 example again, if the objective of a person was to avoid excess calories, then their reference point for
181 daily consumption might be 2500 kcal. They might then code a single meal of 500 kcal as
182 “acceptable”, 1200 kcal as “avoid,” and 2100 kcal as “not to be considered”. With that interpretation,
183 their choice set would then likely be the first two items and other considerations such as cost and
184 desirability would lead to a choice.

185 The first stage of that process, the coding, occurs in the *editing phase* as defined by
186 Kahneman and Tversky (14). The second stage where all the attributes (e.g. calories, cost, and
187 desirability for the food example) are considered is termed the *evaluating phase*, which then leads to a
188 decision, or choice. This paper will focus on aspects related to the coding process of the editing phase.
189 It will examine the influence of context on the ability to facilitate the coding process by evaluating
190 whether a ranking was given or not. The second question looks at how the ranking (i.e. coding) is
191 affected by other examples.

192 However, prior to even the editing phase, a person likely must value the information in order
193 to consider it. In the health field, the stages of change theory (15) suggests that there are six stages
194 from pre-contemplation (unaware of problem, no intention to change) through to termination
195 (behavior change complete and relapse unlikely). Depending on the stage-of-change, different
196 information will be relevant and thus valued and considered. An environmentally aware individual
197 who has already adjusted their behavior may put more effort into interpreting it. As well, they may
198 also have greater background knowledge and experience with CO₂ information. Therefore, a person’s
199 environmentally sustainable behaviour should also be considered.

200

201 **METHOD**

202

203 The first question investigated relates to whether contextual information facilitates coding. Three
204 different presentation styles, or *formats*, that have some degree of context were considered in
205 comparison to mass. The sustainability ranking was a seven-point Likert scale with the most
206 sustainable option at one end and the least sustainable option at the other with a further “don’t know”

option. The format's ability to facilitate the coding process can be measured by the number of "don't know" responses.

The specific question was: *does the format affect whether the participant ranks the travel scenario?* This question assumes that a person needs to first be able to make some kind of ranking before they could compare it to their reference point to establish whether it would be a gain or loss. By analyzing whether format will affect the ability to rank, this research will highlight more useful presentation styles.

The second main question deals with the anchoring effect and context. The anchoring effect may impact how the travel scenarios are ranked, which could affect how they are coded in the editing phase. If consideration to what alternatives are presented is *not* made in the design of information systems, then it would be important to reduce the anchoring effect so that people would code information appropriately no matter the other alternatives presented. Potentially, contextual formats such as the earth format may reduce the anchoring effect. This is because an acceptable range is communicated through the context, which should reduce the tendency or need to compare with other information to make a judgement of acceptability. Thus, the second question investigated in this paper is: *do contextual presentations of CO₂ reduce the anchoring effect?* This question is important as it will highlight whether the choice set shown to individuals will affect their understanding irrespective of the format used.

225

226 Participant Characteristics Considered

227

A number of characteristics were thought to be important considerations in this research. These include valuing the information, age, education, country of residence, and usual transportation mode. As previously mentioned, people will not likely consider information that they do not value. If CO₂ information is relevant (person is at least aware of the problem), individuals may put more effort into interpreting it. Those who have already made changes to lower their environmental impact may feel that they *should be able* to give a sustainable ranking. That second point is related to the theory that individuals want to be consistent (16); that once they have made a decision they will act to support that decision. It may also result in lower sustainability rankings as they may consider CO₂ with greater concern. This aspect was measured by asking the individuals to choose the statement that best described them:

238

- I don't worry about climate change.

239

- I worry about climate change, but don't know what to change.

240

- I worry about climate change and I am planning to reduce my impacts.

241

- I have made changes in the last year to reduce my impacts.

242

Demographic characteristics are considered because age may affect the level of knowledge in this area with the youngest group thought to have greater exposure, thus ranking the information more often and be less influenced by the anchors. Having higher education may influence the level of awareness to climate change resulting in more frequent ranking and a lower impact from the anchoring effect. The country of residence may affect awareness and concern about environmental problems such as climate change. Therefore that factor is also included, though no conjecture of the impact of each country will be made. Finally, gender is included, though there is no anticipated influence.

250

Personal travel behavior may relate to how sustainable the travel scenarios are ranked. It is anticipated that car users would rank the travel scenarios as more sustainable than others to reduce feelings of guilt (if they are concerned about the environment). That is predicted based on the theory of cognitive dissonance which suggests that people will act to reduce conflicts between actions and/or beliefs so as to avoid the negative feelings of such inconsistencies (17).

255

In summary, the hypotheses tested are:

256

1) Contextual formats will increase the likelihood of ranking.

258

a. The characteristics of the participants *age* (-), *higher education* (+), and *environmental stage of change* (+) will affect the likelihood of ranking.

259

260

2) Contextual formats will reduce the anchoring effect of what other choices are presented.

261

- 262 a. The characteristics of the participants *environmental stage of change* (-) and *usually*
 263 *drive* (+) are anticipated to affect the ranking.
 264

265 **The survey**

266
 267 In this study 194 people (Table 1) were asked to rank on a seven point Likert scale the sustainability
 268 of travel scenarios presented in four different formats. The majority of the respondents participated
 269 through their workplaces which were involved in the CATCH project in five different countries
 270 (Brazil, England, Italy, Scotland, and Spain). For the analysis, Scotland and England are combined as
 271 the UK. A smaller set of 45 participants were recruited through a recruiting agency which selected
 272 individuals based on gender, age, usual transport mode, and if they had higher education or not. Those
 273 individuals participated in focus groups which included discussion related to the survey.
 274

275

TABLE 1 Descriptive statistics of participants.

Factors	N	Percentage
<i>Age (years)</i> (Min=19, Max=76)		
20 to 34 years	88	45%
35 to 59 years	79	41%
60 years and over	22	11%
Male	100	53%
Higher Education	112	61%
Usual mode is car	136	73%
<i>Nationality</i>		
UK	88	45%
Brazil	30	30%
Spain	26	13%
Italy	50	26%
<i>Stages of change</i>		
Don't care about climate change	25	13%
Care, but don't know what to change	42	22%
Care and am planning to change	54	28%
Care, changed something in the past year	55	28%
Low CO ₂ alternatives Stream (anchoring effect)	98	50.5%

276

277 To facilitate the research on anchoring effects the participants were randomly assigned to
 278 either a low or high stream. The low stream had travel scenarios based on modes which produce
 279 smaller amounts of CO₂ per passenger. The high stream had travel scenarios which produced larger
 280 amounts of CO₂ per passenger. To investigate the potential impact of formats on the anchoring effect
 281 one travel scenario was included in both streams with the same CO₂ information. The examples used
 282 in both streams are shown in Table 2.
 283

283

284 **TABLE 2 The example travel scenarios for a five mile trip used in each stream.**

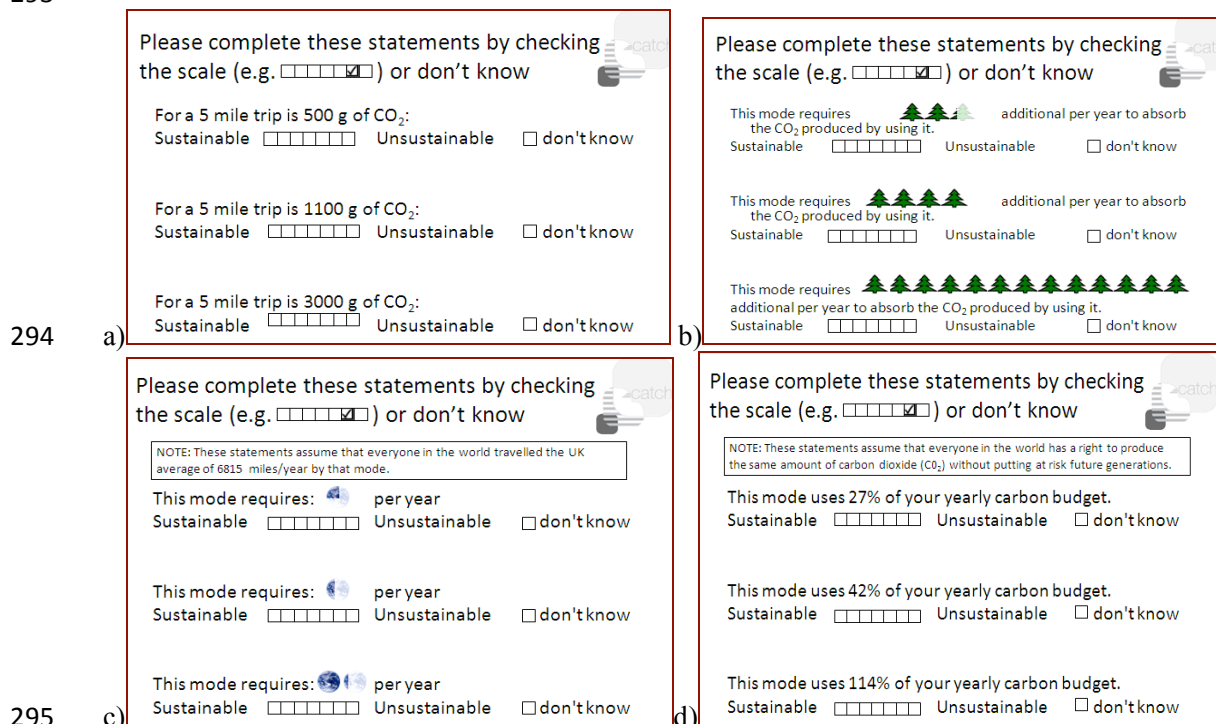
Stream	Mode represented	CO ₂ /passenger for 5 mile trip
Low	Bicycle*	132g (4.66 oz)
Low	Full city bus	230g (8.1 oz)
Low, High	Full car	500g (17.6 oz)
High	1.6 passengers in large hybrid car	1100g (38.8 oz)
High	Single occupancy 4x4	3000g (105.8 oz)

285 * This is based on work by Lane (2006) that estimated the calories required and the associated CO₂ produced by an average
 286 UK diet to supply those calories.
 287

287

288 As mentioned previously, four formats were explored: mass, tree equivalents, earth
 289 equivalents, and a carbon budget (representing a cap and trade system). The participants were given

290 three travel scenarios on each page (four pages in total) where the CO₂ information was presented in
 291 one of the four formats (Figure 1; high stream). They were not told what the mode was so as to
 292 eliminate inferences they may have associated to the mode.
 293



294 a) b)
 295 c) d)
 296 **FIGURE 1 The larger CO₂ producing travel scenarios. a) Shows the mass format (no context).**
 297 **b) Shows the tree equivalents format (some context). c) Shows the earth equivalents format**
 298 **(high context). d) Shows the carbon budget format (high context).**

300 The anticipated impact of each format (compared with mass) on the two main hypotheses are
 301 shown in Table 3. Summarizing those impacts, the expected results will be that carbon budget and
 302 earth formats will result in more participants ranking the travel scenarios and reduce the effect of the
 303 other travel scenarios presented to pull the ranking in one direction or the other (anchoring effect) as
 304 those two formats contextualize the information with respect to some limit. The tree format is
 305 expected to perform better than the more abstract mass format, but is expected to have limited impact
 306 on the anchoring effect as it does not directly indicate a limit.

307 **TABLE 3 The anticipated impact of presentation format on usability and anchoring.**

Format	Anticipated impact on usability	Anticipated impact on anchoring effect
Mass	(baseline)	(baseline)
Tree equivalent	+	None
Carbon budget	++	Reduce
Earth equivalent	++	Reduce

309 The amount of CO₂ produced for each travel scenario was estimated using travelfootprint.org
 310 (18). The yearly amounts were based on the UK average mileage of 6815 miles (19). The tree
 311 equivalents used were based on results from American Forests (www.americanforests.org). A carbon
 312 allowance, or “budget”, of 3.6 tonnes was used based on results from the World Wildlife Fund’s
 313 footprint calculator (<http://footprint.wwf.org.uk/>) (the authors do not suggest that 3.6 tonnes is a
 314 sustainable amount or that it is recommended cap level). How people understand and react to the
 315 information was of concern and thus the same value was used for the earth equivalent to maintain
 316 consistency. That consistency should allow for better comparisons between those two formats.
 317

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320

ANALYSIS AND RESULTS

321 Analysis was first carried out that examined purely the impact of the formats, and then potential
322 influences of the participants' characteristics were examined. For each format, there were three
323 ranking questions. The action of ranking was used here to measure usability. Therefore, the dependent
324 variable was the number of these that *were ranked*.

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327

First Hypothesis: Contextual Formats will Increase Usability

328 For the first hypothesis analysis of variance (ANOVA) was used to examine whether the number of
329 ranked responses differed across the formats. In terms of the first hypothesis, format had a significant
330 main effect with the average number of ranked responses being: mass 70.3%, trees 80.2%, earths
331 72.7%, and carbon budget 83.8% (N=776, $F(3,772)=4.615$, $p=0.003$). However, although significant,
332 the effect size was small (partial eta squared = 0.02). Examining the Tukey HSD test, only carbon
333 budget ($M = 2.5$, $SD = 1.08$) was significantly ($p < 0.05$) different from mass ($M = 2.11$, $SD = 1.35$)

334 The tree format, though concretizing the concept of CO₂ mass, does not provide contextual
335 information with respect to a limit. However, the earth format does convey a limit and so the result
336 that it was not statistically more usable (in terms of ranking sustainability) than the mass format was
337 surprising. One possible explanation of this result comes from signal detection theory (24) applied to
338 research on graph presentation (20).

339 Signal detection theory is a general framework that is used to describe and examine decisions
340 made in uncertain situations (21) and distinguishes between the signal and noise. For the earth format,
341 the signal is the portion of the earth with full color and the noise is the remaining portion (if under
342 100%) that is faint in color. People may have experienced difficulty detecting the signal. Holland and
343 Spence (20) found that people had greater difficulty with pie charts to distinguish differences,
344 particularly when the differences are small. In that manner, the use of the earth presentation format is
345 expected to have the same weaknesses experienced in using pie charts to represent choice attributes:
346 individuals are less likely to detect the difference between two information items that represent small
347 values of CO₂ emissions.

348 The focus group results support that possibility as several individuals who were in the low
349 stream commented that they had trouble detecting and distinguishing differences of the small pie
350 slices. Future research should examine whether the problem is with the earth format itself, or the
351 presentation style used in this experiment.

352 Two-way, between groups analysis of variance was used to examine the other potential
353 influences on ranking. The results are listed in Table 4 and in all cases Levene's Test of Equality of
354 Error Variance was significant, so only results that are $p < 0.01$ are considered statistically significant.
355 No interaction effects were significant, so the main effects can be directly interpreted.

356
357
358

TABLE 4 Results of two-way, between groups ANOVA for format combined with the other considerations.

Variable (number of categories)	Variable Effect p	Format Effect p
Age Group (3)	n.s.	0.007
Gender (2)	n.s.	0.004
Education (2)	n.s.	0.002
Stage-of-Change (4)	< 0.001	0.001
Usual mode is car (2)	n.s.	0.007
Setting (2; N = 352)	n.s.	0.006
Country (4)	0.003	n.s.
Stream (2)	0.009	0.003

359 N = 776

360

361 The conjecture about the youngest age group (20 to 34 years) ranking the travel scenarios
 362 more often was supported by the difference as compared to the middle age group (35 to 59 years), but
 363 not the eldest group (60 years and over). The conjecture about the impact of higher education on the
 364 likelihood to rank was not supported. Males were more likely to rank than females. The country of the
 365 respondents was found to be significant. Only the UK respondents were statistically significantly
 366 different from the other countries (overall $M = 2.31$, $SD = 1.24$); they were less likely to rank ($M =$
 367 2.13 , $SD = 1.33$). In order to consider whether this was an impact of the setting (focus group versus
 368 work), only UK respondents were compared for the *setting* variable, but the effect was found to be
 369 insignificant.

370 The hypothesis that participants in higher environmental stages-of-change would be more
 371 likely to rank was supported by the results with the two higher self-reported stages (care about climate
 372 change and will change something or have changed something) being more likely to rank (mean
 373 values in order of increasing stage of change were: 1.94, 2.18, 2.54, and 2.42). This result supports the
 374 proposal that valuing the information will increase the chance that the information will reach the
 375 coding stage in the editing phase which then leads to the evaluation phase (Figure 1). As well, the
 376 effect size was largest for stage of change (partial eta squared = 0.03).

377 Unexpectedly, the stream (low or high) which the participant was randomly assigned to was
 378 found to have an impact on “don’t know” response rates. The higher levels of CO₂ stream were more
 379 likely to respond ($M = 2.42$, $SD = 1.16$) than the lower ($M = 2.19$, $SD = 1.31$). However, the effect
 380 was extremely small (partial eta squared = 0.01).

381 In summary, the main effect of format was found to be a significant explanatory variable in
 382 different rates of ranking, but not as anticipated. There was no clear benefit of just improving context
 383 as the earth format performed poorly. However, it was confirmed that the most dominant format,
 384 mass, is the least useful. As well, the notion that valuing the information (as measured by stage of
 385 change) would increase the likelihood of reaching the editing phase (e.g. ranking) was found to be
 386 significant. The country of residence was also significant with the UK ranking less often than all of
 387 the other countries. This may be the result of different overall levels of awareness or concern.
 388

389 **Second Hypothesis: Contextual Formats Reduce the Size of the Anchoring Effect**

390

391 One travel scenario was included in both high and low streams with the same CO₂ information in
 392 order to investigate the potential impact of contextual formats on the anchoring effect. Only rankings
 393 were considered, so responses of “don’t know” were eliminated.

394 ANOVA analysis was used for each format to test whether the anchoring effect was a
 395 significant main effect in explaining different rankings. The potential range was 0 to 6 (Likert scale of
 396 1 to 7). All results (Table 5) were significant at $p < 0.001$ which suggests that regardless of the format,
 397 there was an anchoring effect evident due to the presence of other example travel scenarios.
 398

399 **TABLE 5 The mean values of sustainability rankings for the different formats by stream (high**
 400 **= the alternative travel scenarios produced large amounts of CO₂ per passenger; low = the**
 401 **alternative travel scenarios were small amounts of CO₂ per passenger.)**

Format	Other values high	Other values low	Difference
Mass	6.3	3.7	2.61
Tree	3.3	5.3	2.02
Earth	4.5	5.2	0.67
Carbon Budget	3.2	5.8	2.59

402

403 The interest is perhaps in the difference (Table 5) between the average rankings for each
 404 format by stream. Those results suggest that the Earth format reduced the impact of the anchoring
 405 effect, but that was not the case for the carbon budget as well, so the hypothesis that the contextual
 406 formats would reduce the impact in comparison to the other two was not supported.

407 The next analysis considered whether characteristics of the participants affected ranking. The
 408 participants’ characteristics along with the stream were considered using two-way, between groups
 409 ANOVA. Results were split by format as stream and format had high interaction effects.

410
411
412**TABLE 6 Two-way, between groups analysis by format for stream in combination with personal characteristic variables.**

Variable (number of categories)	Mass		Tree		Earth		Carbon Budget	
	Variable Effect <i>p</i>	Stream Effect <i>p</i>	Variable Effect <i>p</i>	Stream Effect <i>p</i>	Variable Effect <i>p</i>	Stream Effect <i>p</i>	Variable Effect <i>p</i>	Stream Effect <i>p</i>
Age Group (3)	n.s.	< 0.001	n.s.	< 0.001	n.s.	.037	n.s.	< 0.001
Gender (2)	n.s.	< 0.001	n.s.	< 0.001	n.s.	< 0.001	n.s.	< 0.001
Education (2)	n.s.	< 0.001	n.s.	< 0.001	n.s.	n.s.	n.s.	< 0.001
Stage-of- Change (4)	n.s.	< 0.001	n.s.	< 0.001	n.s.	< 0.001	n.s.	< 0.001
Usual mode is car (2)	n.s.	< 0.001	n.s.	< 0.001	n.s.	n.s.	n.s.	< 0.001
Country (4)	n.s.	< 0.001	0.043	< 0.001	< 0.001	n.s.	0.018 (n.s.*)	< 0.001
Setting (2; N = 352)	n.s.	< 0.001	n.s.	< 0.001	n.s.	< 0.001	n.s.	< 0.001

* The Levene's Test of Equality of Error Variances was significant.

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No variable considered for the mass format was able to explain variation when *stream* was considered as well. This supports the prediction that people have low background knowledge and are thus operating in a high state of uncertainty and the anchoring effect's influence will increase with the non-contextual format of mass.

For the format *tree* the UK and Brazil had larger changes between the high and low streams compared to the respondents from Italy and Spain. This may be a cultural artefact with the two former countries having a high association between trees and the environment. However, that was not investigated here.

Continuing with the format *tree*, *gender* also had an interaction effect with *stream*. The average ranking changed for men by 2.74 steps, while for women it only changed by 1.06. It is not clear why this may be, but it may relate to different evaluation strategies.

For *earth*, there was no statistical difference between the streams when *car-use*, *stage of change*, *age group*, or *country* were considered. In those cases, only *country* was statistically significant with the UK being the only country where the average response was considerably different between the streams (1.6 versus 0.2 in all others). This was not an effect of the setting (focus group versus work) as this was also investigated and found to be insignificant. It would seem that the residents of other countries benefited from the contextual information that the earth format gave.

Continuing with *earth*, *gender* also had an impact. A similar result to *trees* was found with the average result for men changing by 1.35 compared with 0.19 for women. However, this result was not repeated in for the *carbon budget* format. This may suggest some different interpretation between genders of more abstract concepts such as tree or earth equivalents.

For the *carbon budget* format, only *country* potentially had a significant effect. However, the Levene's Test of Equality of Error Variances was significant, suggesting that variance of the ranking across the groups was not equal and a stricter significance level should be used. Therefore, this result should not be considered significant.

With respect to the predicted impacts of the stage of change and mode use, neither was found to have a consistently significant effect on the ranking. The result for the stage of change suggests that it is effort rather than experience that explains higher response rates for the first hypothesis on the coding process. For the mode use, it may be that as the travel scenarios are not examples of the individual's actual travel, cognitive dissonance does not apply.

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DISCUSSION

448 Providing individuals with information about attributes of their travel choices, such as carbon
449 emissions might be seen not only as a service provided to the public, but as an instrument to change
450 travel behavior. The presumption is that individuals, provided with travel information, can make more
451 fully informed choices which will be to their personal advantage and potentially that of the transport
452 system as a whole. In the economic tradition, where the assumption of perfect information is a
453 necessary part of rational decision-making, information systems are therefore a necessary part of
454 choice.

455 However, the design of travel information systems has often ignored the psychological,
456 ergonomic and cognitive processes of retrieving and using information. The factors that might play a
457 role in behavioral change processes are not always addressed in the design of such systems. The
458 findings of this research first suggest that the dominant format of using CO₂ mass to inform decision
459 makers is not very effective with nearly a third of all responses being unranked. The best format by
460 that measure was the carbon budget, based on a cap and trade concept. That format likely worked best
461 because it gave context to the information; it gave the decision maker a reference point to work with.
462 However, the other contextual format, earth, did not perform well in this aspect but may have suffered
463 problems of interpretation related to the presentation style. From those findings, simple, contextual
464 formats should be used in the design of CO₂ information systems.

465 Environmental stage of change, country, and stream were all significant in explaining the
466 potential to rank as well. This highlights the need to consider the general level of awareness of the
467 population. A single format is unlikely to be sufficient to communicate effectively with all citizens in
468 all countries.

469 No format was found that consistently eliminated the anchoring effect. This finding suggests
470 that practitioners must consider what alternatives are being presented as they will affect how
471 sustainable the different choices appear. This is especially true at the present time when knowledge is
472 low for what acceptable levels of CO₂ are.

473 The *earth* format was able to eliminate the anchoring effect in a number of two-way
474 interactions though. This suggests that if the usability (as measured by the occurrence of ranking)
475 could be improved it may be a very effective format and reduce the complexity of design.

476 This research was not able to use samples that were wholly representative of each country's
477 populations, heavily favouring employed individuals. However, employed individuals likely have
478 greater financial capacities which may increase resource consumption, making them an appropriate
479 target for CO₂ reduction campaigns. Actual impacts on choice or behavior change were not
480 investigated which, along with a larger sample, are important future research considerations

481 Some perhaps unrealistic expectations of big effects from new information systems have
482 remained unrealised (22): in practice, detailed information tends to be sought after a decision to
483 change behavior (or to consider changing behavior) for other reasons (23). Considering that,
484 information provided about a trip or route supports, as opposed to stimulates, behavior change. Many
485 journeys are routine, familiar and predictable and correspondingly there is not a demand for
486 information use in relation to travel choice. However, Chorus et al. (22) in a review of the impact of
487 information on transportation choices found that post-trip information can be effective in changing
488 future travel behavior.

489 Considering that and the results of this research, in order to make the use of information more
490 effective in promoting sustainable travel practitioners should:

- 491 a) Motivate people to seek CO₂ information or, create uncertainty that one's choice is the correct
492 one to stimulate the person to seek information on CO₂.
- 493 b) Include post-trip CO₂ information.
- 494 c) Use formats that facilitate coding as good (gain) or bad (loss).
- 495 d) Present alternative choices that stimulate desirable change to lower carbon choices.

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497 CONCLUSIONS

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499 Carbon dioxide (CO₂) information is available for individuals, but its usability has not been
500 investigated. This research investigated whether the CO₂ information presentation format affected the
501 ability of individuals to give a sustainability ranking and whether anchoring effects were affected by

502 the formats. There were four formats tested that represented textual/visual and non-
 503 contextual/contextual presentation styles. The study population was from five countries and favoured
 504 employed individuals.

505 Analysis of variance found that the main effect of format was important in explaining whether
 506 or not the travel scenario was ranked, with the textual-contextual format of carbon budget
 507 (representing a cap and trade concept) being associated with the most rankings. The results highlight
 508 that the current practice of using mass to convey CO₂ information is not as effective as other formats
 509 could be.

510 Along with the format types, characteristics of the participants were also analysed. For the
 511 likelihood of ranking, *age*, *gender*, *environmental stage of change*, and *country* were all significant.
 512 This indicates that heterogeneity in the population and the population's level of awareness are
 513 relevant considerations in communicating CO₂ information by practitioners.

514 It was hypothesized that contextual formats would reduce the impact of the anchoring effect.
 515 However, although the earth format had the lowest difference between the high and low streams the
 516 other contextual format, carbon budget, was not statistically different from the mass format (the most
 517 affected by the anchoring effect). For practitioners, this suggests that alternatives could be used to
 518 highlight the desired choice.

519 Some limitations of this research were discussed above which suggest that to validate these
 520 findings future research should aim for larger scale research in more realistic settings. Other
 521 considerations include how information should be provided if it is not being actively sought (e.g.
 522 metering CO₂ produced while driving). As well, how should people be stimulated to value such
 523 information (e.g., providing incentives, cap and trade, or making it "the right thing to do")? Building
 524 on that, research on evaluation and actual behavior change is necessary to best guide the design of
 525 information tools and campaigns.

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